

JC14 Rec'd PCT/PTO 01 APR 2002

Attorney Docket MSK.P-041
PATENT APPLICATION
April 1, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Marians
Serial No: 09/890,829
Filing Date: 10/26/2001
Title: Process for DNA Replication

REQUEST FOR REFUND

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Attached hereto is a copy of Monthly Statement of Deposit Account indicating a charge of \$295.00 for IPEA/US on October 3, 2001. A preliminary amendment was filed with this application, a copy of which is enclosed. This preliminary amendment brings the claims into the position of satisfying the provisions of PCT Article 33(1)-(4). Thus the extra charge is not required.

Please issue a credit of \$295.00 due our firm and deposit it to our Deposit Account 15-0610.

Respectfully submitted,

OPPEDAHL & LARSON LLP

Marina T. Larson

Marina T. Larson, Ph.D.
Reg. No. 32,038
P.O. Box 5068
Dillon, CO 80435-5068
970-468-6600

Enclosures

Cert. under 37 CFR 1.8
This paper and the attachments named
herein are being deposited with the
United States Postal Service with
sufficient postage as first class mail
and addressed to Assistant Commissioner
for Patents, Washington, D.C. 20231 on

4-1-2002
S. Howard
Name Sign



Return To:

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Page**Deposit Account Statement**

Requested Statement Month: October 2001
Deposit Account Number: 150610
Name: OPPEDAHN & LARSON LLP
Attention:
Address: P.O. BOX 5068
City: DILLON
State: CO
Zip: 80435-5068

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/03	4	09954337	FREIP021-2	104	\$270.00	\$5,293.00
10/03	56	09890829	MSK.P-041	957	\$295.00	\$4,998.00
10/04	5	09635749	GOTEP010CP	102	\$4.00	\$4,994.00
10/04	42	09967726		704	-\$44.00	\$5,038.00
10/04	141	09678357	SMAR.P001	217	\$15.00	\$5,023.00
10/05	127	09715299		218	\$25.00	\$4,998.00
10/09	62	09550467		704	-\$55.00	\$5,053.00
10/09	63	60293627		704	-\$50.00	\$5,103.00
10/09	64	60293627		704	-\$125.00	\$5,228.00
10/10	21	60293627	USAR.P-17PV	114	\$125.00	\$5,103.00
10/10	23	60293627	USAR.P-17PV	127	\$50.00	\$5,053.00
10/11	104	09121493	41-244-3	217	\$15.00	\$5,038.00
10/12	1	09308079	FREIP016USNP	215	-\$55.00	\$5,093.00
10/15	20	09241684	FREIP034US	581	\$40.00	\$5,053.00
10/15	784	09857136	TLSI.P-001	957	\$295.00	\$4,758.00
10/31	139	487424		567	\$30.00	\$4,728.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$5,563.00	\$1,164.00	\$329.00	\$4,728.00

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

MSK.P-041

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/US00/04445

INTERNATIONAL FILING DATE

03 February 2000

PRIORITY DATE CLAIMED

04 February 1999

TITLE OF INVENTION

PROCESS FOR DNA REPLICATION

APPLICANT(S) FOR DO/EO/US

Marians, et al.

EL556130597US

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

PCT/US00/04445

MSK.P-041

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 100.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	11 - 20 =	0	x \$18.00	\$
Independent claims	1 - 3 =	0	x \$80.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			0 + \$270.00	\$

TOTAL OF ABOVE CALCULATIONS =

\$ 100.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$ 50.00

SUBTOTAL =

\$ 50.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 50.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$ 50.00

Amount to be
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$50.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 15-0610. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SIGNATURE

Marina T. Larson

NAME

32,038

REGISTRATION NUMBER



021121

PATENT TRADEMARK OFFICE

ATTENTION ATTENTION ATTENTION

DATE PROCESSED :

PROCESSED BY : L.S.

☐

ACH PAYMENT

Attached is the most current ACH Information

PCT-National

☐

TREASURY CHECK

The Most Current ACH Information Was

Requested From This Customer With The Purpose Of

Processing His Refund Request By Electronic Fund

Transfer(EFT). No EFT Information Was Received

Therefore, If Customer Is Due A Refund:

A CHECK WILL BE ISSUED.

☒

CREDIT TO DEPOSIT ACCOUNT

09 / 890,829

This Request for Refund Will Be Processed By Crediting

Deposit Account Number 15-660,

If Customer Is Due A Refund.

ATTENTION ATTENTION ATTENTION